

FERPA: what faculty and staff should know

September 2007

In light of recent incidents on other college campuses, and in preparation for the new school year, it's a good time to remind ourselves of our obligations – and rights – under FERPA, the federal statute that governs the privacy of student records and information.

In general terms, FERPA prohibits us from disclosing student records (or information from student records) to anyone other than the student to whom the records pertain, unless we have the student's consent. The records that are covered are **not** limited only to "academic" records. FERPA certainly does protect transcripts, exams, grades, and the like, but it also protects virtually **all other** records, in **any** format, that contain personally identifiable information about a student, including the student information database, class schedules, financial account and financial aid records, disciplinary records, "unofficial" records, and even photographs and e-mails. Moreover, "personally identifiable information" includes not only express identifiers such as names, addresses, and ID numbers, but also other information from which the student's identity could be ascertained, either by itself or in combination with other available information. For example, a record containing such demographic information about a student as gender, age, major, class year, and residence might well make the student personally identifiable even if it does not list the student's name.

Given the breadth of FERPA, it's best to assume that **all** records concerning students are covered unless you're sure that they're not. Fortunately, however, there are a number of exceptions to the nondisclosure requirement that enable us to conduct our academic business and make appropriate disclosures even without the relevant student's consent. The most important such exceptions are the following:

1. We may disclose any student records to other College officials (including other students serving on official College committees) who need the information in order to perform their College duties and functions. Thus, for example, a faculty member may (and, of course, should) turn student grades in to the Registrar's Office; an RA who observes a potential disciplinary violation may (and should) inform the College's Disciplinary Committee; and any employee with concerns about a student's mental health may (and should) raise them with the Office of Student Development and, if it involves immediate safety issues, Public Safety.

2. We generally may disclose "directory information" about a student to anyone (though we should use appropriate discretion in doing so). "Directory information" includes a student's name; local, home, and e-mail addresses; local and home telephone number; major field of study; dates of attendance; anticipated degree and degree date; degrees, honors, and awards received; and photograph.

Note, however, that students have the right to "opt out" of having their directory information disclosed, and each year some students do so. Accordingly, we must confirm whether a student has done so before releasing directory information about that student. You can obtain such confirmation from the Registrar's Office.

Note also that we cannot disclose directory information in ways that would disclose other, non-directory information. For example, we cannot provide a list containing only names and addresses in response to a request for the names and addresses of all students who have disciplinary records or who have a specified GPA or higher, because in doing so we would implicitly be revealing that those particular

students have disciplinary records or the specified GPA, which is protected information.

3. We may disclose any records or information about a student to the student's parents, *but only if we first have confirmed that the student is their dependent for tax purposes*, either by checking with the student or by obtaining a copy of the parents' most recent tax return. For purposes of this exception, it makes no difference whether the student is a minor.

4. When we have a good-faith belief that there is a health or safety emergency, we may disclose student records and information relevant to that emergency to anyone we reasonably believe can help deal with that emergency. In general, and when reasonably possible, the initial disclosure should be made to professionals trained to evaluate and handle such emergencies, such as Public Safety or the Office of Student Development, who can then determine whether further and broader disclosures are appropriate.

In addition, there are a number of other, more limited exceptions for specific situations.

Keep in mind that all of these exceptions are discretionary, that FERPA does not require us to disclose student records to anyone other than the relevant student, and that there may be other legal or policy reasons not to disclose information even when FERPA would allow us to do so. If you have any doubts about whether you can or should disclose information, please contact either of the following before doing so:

Steve McDonald
General Counsel
smcdonal@risd.edu
x4955

Steve Berenback
Registrar
sberenba@risd.edu
x6156

Here are some things that we should *not* do:

Post a list of student grades by SSN or ID number.

Leave graded tests or papers out in a stack for students to sort through and pick up.

Send student information on a post card instead of in a sealed envelope.

Discuss a student's situation with others not directly involved in the situation or where they might overhear.

Release student information by phone or e-mail without first verifying the identity of the recipient.

Leave student records where they could be seen or accessed by others.

Dispose of old student records in the normal trash.

Access the student information database or other student records for reasons unrelated to our individual College duties and functions.

For additional, more detailed information about FERPA, please see the following:

[FERPA Allows More Than You May Realize](#)

[FERPA and Campus Safety](#)

[RISD's FERPA Policy](#)